

WHAT IS A WHISTLEBLOWER DISCLOSURE?	A special form of disclosure that is recognised by the law and entitled to special protections. Whistleblower disclosures are used to report actual or reasonably suspected wrongdoing in relation to NCCCA.
WHAT IS “WRONGDOING”?	Misconduct, a reportable incident (as defined under the Serious Incident Response Scheme (SIRS)), a breach of any of the provisions of the Aged Care Act 2024 (Cth) or an improper state of affairs with respect to NCCCA.
WHEN TO BLOW THE WHISTLE	Not everyone is entitled to be protected for blowing the whistle in regard to NCCCA, and even if you are entitled to whistleblower protections, you may prefer to report via some other channel. Generally, whistleblowing may be an option if: • you have reasonable grounds to suspect that an entity* may have contravened a provision of the Aged Care Act 2024 (Cth) or otherwise committed wrongdoing, and • your disclosure meets the eligibility requirements (refer to Is Whistleblowing the Best Option?). If whistleblowing is not the best option for you, you may be able to use other reporting procedures such as our Feedback and Complaints or Incident Management procedures. *An “entity” means an individual, body corporate, body politic, partnership or any other unincorporated association that has a governing body.
WHY BLOW THE WHISTLE?	So that we can investigate and address wrongdoing.
HOW TO REPORT	Contact our external Whistleblower Provider, Your Call Online (24hours/7 days a week): www.yourcall.com.au/nccca Telephone 7am – midnight, Business days: 1300 790 228 If you are deaf, or have a hearing or speech impairment, you can contact Your Call online or through the National Relay Service at https://www.accesshub.gov.au and requesting Your Call’s hotline 1300 790 228. If you have difficulty speaking or understanding English, Your Call can be contacted through the Translating and Interpreting Service (TIS) 131 450 and asking for Your Call on 1300 790 228.
WHAT WE WILL DO	Your Call or one of our whistleblower officers will assess your disclosure for appropriate action, including: • commencing an investigation • protecting your identity • protecting you from detriment – although our ability to do this will be greater for current employees than for whistleblowers outside the organisation.

	Your report will be taken seriously, and we will strive to ensure that you are protected and supported. You may be entitled to legal protections under the Aged Care Act 2024 (Cth) and/or Corporations Act 2001 (Cth), as detailed in this Policy.											
CAN A REPORT BE ANONYMOUS?	You may remain anonymous or give your name, it's up to you. However, if you provide your identity, this will help us to oversee your wellbeing. It may limit our ability to conduct a thorough investigation if we are not able to contact you to obtain further information about the wrongdoing you have disclosed.											
WHAT TO DO IF YOU ARE CONSIDERING MAKING A REPORT	• Read this Policy. • Determine if blowing the whistle is the best option for you. • If whistleblowing is the best option, follow the instructions under How to Make a Whistleblower Disclosure.											
WHO TO SPEAK TO ABOUT THIS POLICY OR TO OBTAIN ADDITIONAL INFORMATION BEFORE MAKING A DISCLOSURE	Speak to one of our whistleblower officers. <table><tr><td>Tony Bidstrup</td><td>tony.bidstrup@nccca.com.au</td><td>0467975171</td></tr><tr><td>Kate Williams</td><td>kate.williams@nccca.com.au</td><td>0418470110</td></tr><tr><td>Leesa Bigham</td><td>leesa.bigham@nccca.com.au</td><td>0409917161</td></tr></table>			Tony Bidstrup	tony.bidstrup@nccca.com.au	0467975171	Kate Williams	kate.williams@nccca.com.au	0418470110	Leesa Bigham	leesa.bigham@nccca.com.au	0409917161
Tony Bidstrup	tony.bidstrup@nccca.com.au	0467975171										
Kate Williams	kate.williams@nccca.com.au	0418470110										
Leesa Bigham	leesa.bigham@nccca.com.au	0409917161										

1. Introduction

NCCCA is committed to ethical behaviour that is aligned with our values and complies with all relevant laws. The disclosure of actual or reasonably suspected wrongdoing is a key element in maintaining our ethical culture.

We recognise that people who have a work, service or customer relationship with the Organisation are often best placed to identify illegal or other undesirable conduct.

We are committed to providing a supportive environment for any person making a whistleblower disclosure, including protecting whistleblowers' identities, and we will always strive to ensure that every whistleblower, their colleagues or relatives are protected from detriment as a result of blowing the whistle. This includes protection from dismissal or demotion and from any form of reprisal including retaliation, harassment or victimisation.

The Organisation has documented and implemented a detailed Whistleblower Program, that is available to the Board of Directors, Leadership Team and all staff. Our Whistleblower Program documents our whistleblowing governance mechanisms.

Under Australian law, two separate but overlapping sets of protected disclosure ("whistleblower") laws apply to residential aged care facilities. One set comes from the Aged Care Act 2024 (Cth) (Aged Care Act); the other from the Corporations Act 2001 (Cth) (Corporations Act). Our Whistleblower Program, including this Policy, follows a best practice approach that is designed to meet both sets of requirements.

Our Whistleblower Program, including this Policy, also aims to meet the whistleblower protections provided by the Taxation Administration Act 1953 (Cth) (Tax Act). However, the Tax Act protections apply only to disclosures about tax

affairs and therefore have a narrower scope than this Policy. For more information about tax whistleblowers see the [Australian Taxation Office website](#).

2. Policy Objectives

The objectives of this Policy are to:

- deter wrongdoing
- encourage and enable individuals to disclose actual and suspected wrongdoing knowing that their concerns will be taken seriously and investigated
- describe clearly the process for making a whistleblower disclosure, the types of matters that should be reported and the support and protections available to whistleblowers
- describe clearly the processes that the organisation follows for receiving, managing and appropriately investigating whistleblower disclosures in a timely and effective way that supports and protects the whistleblower (including protecting their identity and protecting them from detriment)
- outline how the organisation manages persons named in whistleblower disclosures and the secure storage of the information provided and gathered
- meet the requirements of and give effect to the protected disclosure (“whistleblower”) legislation protection provisions in the Aged Care Act, Corporations Act and the regulatory guidance set out in the Australian Securities and Investments Commission (ASIC) Regulatory Guide 270 Whistleblower Policies
- meet the requirements for whistleblower policies set out in section 1317AI of the Corporations Act.

3. Is Whistleblowing the Best Option?

Blowing the whistle is different from making an everyday complaint or reporting a minor incident. A whistleblower disclosure is a special form of disclosure that must meet certain criteria, is recognised by the law, and entitles the whistleblower to special protections.

Not every disclosure will qualify as a whistleblower disclosure. Also, even if the disclosure does qualify, the discloser may want to report via some other channel. For these reasons, it is important to understand:

- when a disclosure will qualify as a whistleblower disclosure and entitle the person to special protections
- what other reporting options are available.

When is Whistleblowing Protected?

Anyone can report a wrongdoing, but not every report will be legally recognised as a whistleblower disclosure and receive the associated legal protections. A whistleblower disclosure is a special kind of disclosure that meets these criteria:

- the disclosure must be made to an **eligible recipient**
- the disclosure must be about wrongdoing with respect to NCCCA and must meet the additional criteria below (**eligible content**).

Eligible recipient	To qualify as a whistleblower disclosure, a disclosure must be made to one or more of these people or agencies: • our staff, including directors, officers and anyone employed or contracted to provide care or other services • Your Call (Whistleblower provider) • the Aged Care Quality and Safety Commissioner, or their staff • the System Governor, or an official of the Department of Health, Disability and Ageing • a police officer • an independent aged care advocate • other eligible recipients as defined by the Aged Care Act or Corporations Act. In limited circumstances, a disclosure may be made to a journalist or parliamentarian. Refer to “Public interest disclosure and emergency disclosure” in Part 9.4AAA of the Corporations Act.
Eligible content	To qualify as a whistleblower disclosure, a disclosure must be about wrongdoing with respect to NCCCA. The person making the report must have reasonable grounds to suspect that the wrongdoing has occurred. “Wrongdoing” means: • a contravention of any of the provisions of the Aged Care Act • misconduct or an improper state of affairs or circumstances in relation to NCCCA or a related body corporate.

Other Reporting Options

Aside from whistleblowing, there are many procedures you can use to make a report. These include: Feedback and Complaints, Incident Management or Internal Grievances.

4. When to Blow the Whistle: Examples of Conduct that Should be Reported

You should only use the whistleblowing procedures in this Policy to report wrongdoing that you have reasonable grounds to suspect has occurred or will occur.

Examples of conduct that you may want to report via our whistleblower procedures:

- reportable incidents (as defined under the SIRS and including elder abuse, assault, sexual assault, theft and neglect)
- dishonest and/or unethical conduct
- fraud, forgery, misappropriation, misuse, misdirection, misapplication, maladministration or waste of funds
- gross mismanagement
- conflicts of interest that are not declared or managed appropriately, nepotism, favouritism
- theft, embezzlement, tax evasion
- corruption, taking or offering bribes or secret commissions

THIS DOCUMENT IS UNCONTROLLED WHEN PRINTED

- dishonesty involving influence, such as blackmail
- coercion, harassment or discrimination by, or affecting, any of our staff, volunteers or contractors
- abuse of public trust
- misleading or deceptive conduct of any kind, including conduct or representations that amount to improper or misleading accounting or financial reporting practices by or affecting the Organisation
- other criminally prosecutable offences
- failure to report, or concealment of, an indictable offence
- conduct that poses an unreasonable danger to the health or safety of others
- failure to act in accordance with applicable professional and ethical standards
- a significant threat to the environment
- a significant breach of the terms of any contract that binds the Organisation
- other serious acts such as refusing to carry out lawful and/or reasonable actions under a contract
- other serious misconduct that may materially damage the Organisation's reputation, or may otherwise be detrimental to the Organisation
- any other act that would be considered, by a reasonable person, to be serious improper conduct or an improper state of affairs or circumstances, or amount to a contravention of any of the provisions of the Aged Care Act.

Wrongdoing would also include a deliberate attempt to conceal any of the actions described above.

When NOT to Blow the Whistle

Do not use these whistleblowing procedures to report minor matters that do not constitute wrongdoing as defined in this Policy. Do not use these whistleblowing procedures to report a matter you know to be false.

Examples of conduct that should NOT be reported via our whistleblower procedures:

- an isolated compliance breach that does not amount to a SIRS reportable incident or misconduct and does not indicate a systemic issue
- mismanagement of the budget for the end of financial year celebration that does not involve misconduct such as fraud or embezzlement or nepotism
- failure by a staff member to meet the personal expectations of a resident/client or their family members or carers (where the failure does not constitute a contravention of the Aged Care Act)
- a personal work-related grievance that has no serious implications for NCCCA.

It may be appropriate to report these and other similar incidents via another channel such as our Feedback and Complaints, Incident Management or Internal Grievance procedures.

5. How to Make a Whistleblower Disclosure

To provide effective protection of whistleblowers, including providing for discussions with anonymous whistleblowers during the course of the investigation and after the investigation is finalised, NCCCA uses the external and independent service provider Your Call who are contracted to receive disclosures impartially and confidentially.

Your Call is an independent service provider with staff who are specifically trained to deal with the types of sensitive issues that are reported through whistleblower systems.

THIS DOCUMENT IS UNCONTROLLED WHEN PRINTED

You may provide a whistleblower disclosure to Your Call anonymously or on the basis that your identity is disclosed to Your Call only and kept confidential from NCCCA. By providing your non-identifying contact details or your identity to Your Call, you will assist us to investigate your disclosure by enabling Your Call to contact you if we need further information from you. It will also enable Your Call to advise you of the outcome of the investigation.

You can submit a whistleblower disclosure directly to Your Call through any of the following methods:

Online (24hours/7 days a week): www.yourcall.com.au/nccca

Telephone 7am – midnight, Business days: 1300 790 228

You should not use the whistleblower service to report a personal work-related grievance or a complaint about a matter, not amounting to misconduct, that could be effectively managed through our existing internal reporting procedures. Do not use the whistleblower service to disclose a matter that you know to be false.

Alternatively, you can contact one of our whistleblower officers:

Tony Bidstrup CEO 0467 975 171 Tony.bidstrup@nccca.com.au 2 Mount View Road Cessnock, NSW 2325	Kate Williams General Manager People & Culture 0494 321 406 Kate.williams@nccca.com.au 2 Mount View Road Cessnock, NSW 2325	Leesa Bigham General Manager Quality & Risk 0409 917 161 leesa.bigham@nccca.com.au 2 Mount View Road Cessnock, NSW 2325
---	--	---

You may also provide a whistleblower disclosure to any of the eligible recipients listed in Part 3 above.

Information to Provide in a Whistleblower Disclosure

For a whistleblower disclosure to be investigated it must contain enough information to form a reasonable basis for investigation. This includes any known details about the events underlying the actual or suspected wrongdoing, including:

- a description of the events or activities, including locations
- the names of the people involved and their roles
- relevant dates and times
- possible witnesses to the events
- supporting documentary evidence of the events.

In your disclosure, include any steps that you may already have taken to report the matter elsewhere or to resolve the concerns.

6. Whistleblower Support and Protection

We are committed to providing a supportive environment for any person making a whistleblower disclosure and we will always strive to ensure that every whistleblower is protected from detriment as a result of blowing the whistle. This includes protection from dismissal or demotion, any form of reprisal including retaliation, harassment or victimisation.

Anonymity and Confidentiality

NCCCA will, as far as reasonably possible, provide to whistleblowers the ability to make a disclosure anonymously and will take all reasonable steps to reduce the risk that the whistleblower will be identified as a result of the investigation of their disclosure. A disclosure that is eligible under the Corporations Act or the Aged Care Act can be made anonymously and still be protected under that Act.

Steps that NCCCA will take to reduce the risk of a whistleblower being identified include, where possible:

- ensuring that any meetings with the whistleblower are held in a private setting and outside business hours if required
- ensuring that all communications, files and records that relate to the whistleblower are kept securely
- informing any personnel who are aware of the whistleblower's identity that they have an obligation to keep that identity confidential.

Where a whistleblower's identity is, or becomes, known, that information will remain strictly confidential and only disclosed to the extent permitted and as required.

Protection from Retaliation, Harassment or Victimisation

We do not tolerate reprisals or adverse action being taken against whistleblowers for reporting actual or suspected wrongdoing, including when suspicions are not substantiated following a thorough investigation.

We take steps to promote awareness to all staff of their responsibilities to treat their colleagues and stakeholders with respect and never to engage in behaviour that is discriminatory or that involves bullying or harassment. These responsibilities encompass acknowledging that reporting actual or suspected wrongdoing is integral to an ethical culture and nobody who reports actual or reasonably suspected wrongdoing should experience detriment as a result.

Steps we take to promote awareness and protect whistleblowers include, where possible:

- sharing this Policy with staff and other stakeholders (see section 9)
- staff training
- facilitating a clear, easy process for whistleblowers to make reports and to do so anonymously if they wish (see section 5 above)
- where a whistleblower's identity is known, assigning a Whistleblower Officer to the case to monitor the workplace for signs of retaliation, harassment or victimisation and to intervene when necessary
- facilitating a clear, easy process for reporting retaliation, harassment or victimisation (see below)
- taking disciplinary action against anyone who retaliates against, harasses or victimises a whistleblower (see below).

Reporting Retaliation, Harassment or Victimisation

A whistleblower who experiences actual or threats of retaliation, harassment or victimisation should immediately report it to their whistleblower officer. Any such conduct will be treated as serious misconduct and the perpetrator of the retaliation will be subject to disciplinary action.

Whistleblowers can also report externally to police or a lawyer. A whistleblower who qualifies for protections under the Corporations Act may also contact the Australian Securities and Investments Commission (ASIC). For more information, see ASIC's [Information Sheet 239, How ASIC handles whistleblower reports](#).

Protection from Liability and Internal Disciplinary Action

In accordance with the law, we will not take legal or disciplinary action against a person for making a whistleblower disclosure.

While a whistleblower will not be held liable *for making a disclosure*, they may be held liable for other acts that come to light because of the disclosure. For instance, if the whistleblower's disclosure leads to an investigation and the investigation reveals that the whistleblower committed a crime, they could be held liable for that crime. Also, if the whistleblower's disclosure is false, they could be held criminally liable for making a false disclosure.

However, to promote a culture that encourages whistleblower disclosures, it is our policy that, where a whistleblower has been involved in wrongdoing but has not engaged in serious misconduct or illegal activity, the whistleblower will not, at the discretion of the Board, be subject to internal disciplinary proceedings.

Compensation and Remedies

Where there has been a breach of whistleblower protections and the whistleblower suffers detriment, a court may order that compensation be paid. The court may also order that other remedies be provided. Other remedies include orders to:

- stop conduct that is causing detriment to the whistleblower
- apologise to the whistleblower
- reinstate a whistleblower who is an employee that has been dismissed.

7. Receiving and Investigating a Whistleblower Disclosure

Initial Assessment

When a disclosure of wrongdoing is received, Your Call or a whistleblower officer assess the disclosure, as a matter of priority, to determine whether it qualifies as a whistleblower disclosure and the nature and extent of the investigation that may be required, including timeframes that will allow the investigation to be conducted both thoroughly and with expediency.

Where a whistleblower's identity is known or becomes known or non-identifying contact details have been provided, Your Call or a whistleblower officer will discuss with the whistleblower the issue of confidentiality, the degree of risk that their identity may become known and the risk that they may experience detriment. They will also discuss support services that may be available and strategies for minimising and managing stress and other challenges resulting from their disclosure.

A whistleblower can choose to remain anonymous while making a disclosure, over the course of the investigation and after the investigation is finalised. Where the non-identifying contact is maintained during the investigation of the disclosure, they may choose not to answer any further questions posed if they are concerned that it will lead to their identity being revealed. However, this may compromise the thoroughness of the investigation.

Assigning a Whistleblower Investigator

Your Call or a whistleblower officer will assign a Whistleblower Investigator to investigate the disclosure. Whistleblower Investigators are assigned by the whistleblower officer on a case-by-case basis depending on the particular circumstances of the whistleblower disclosure. The whistleblower officer will act independently of the Whistleblower Investigator and focus on the protection of the whistleblower during the investigation.

Conducting the Investigation

The Whistleblower Investigator will be provided with reasonable access to independent specialist advice if required and all Board members, staff members, volunteers and contractors at NCCCA will be required to provide any assistance required to the Whistleblower Investigator.

The Whistleblower Investigator will, as far as reasonably possible, follow best practice in investigations including ensuring that all reports of suspected wrongdoing that are determined to require investigation are investigated in a way that adheres to the principles of objectivity, procedural fairness, confidentiality and natural justice.

All information and documents relevant to the investigation will be stored securely.

Management of a Person Named in a Whistleblower Disclosure

Individuals who are named in a whistleblower disclosure will be supported during the preliminary assessment of the whistleblower disclosure and during any subsequent investigation. We will provide fair treatment to people who have been mentioned in a report of actual or suspected wrongdoing, by informing them of the substance of statements that have been made about them and giving them a reasonable opportunity to respond. This also includes informing them of the substance of any adverse findings by the Whistleblower Investigator and providing the opportunity to have their response to any allegations set out fairly in the Whistleblower Investigator's report.

8. Provision of Feedback

If the whistleblower's identity is known, or they can be contacted through anonymous channels, where possible NCCCA will provide feedback to the whistleblower during the course of the investigation in a way that does not compromise the confidentiality of their identity. The frequency and timeframes for providing feedback will vary according to the nature of the disclosure and the investigation.

The whistleblower will be informed of the outcome of an investigation, where appropriate, and in particular:

- if the whistleblower's concern was substantiated, the action that has been taken or will be taken to address the issues
- if the whistleblower's concern was not substantiated, then that no further action will be taken unless further information becomes available.

There may be circumstances where it is not appropriate to provide details of the outcome to the whistleblower.

9. Sharing this Policy

NCCCA makes this Policy available to officers, employees and other stakeholders by:

- A link on our website
- Making the policy available in PolicyConnect
- Providing to all new employees and Board members during induction.